

Ohio Department of Insurance

John R. Kasich – Governor
 Mary Taylor – Lt. Governor/Director



VIATICAL SETTLEMENT REGISTERED LIFE AGENT

Contact Information

① Legal Name		② NPN
③ Date of Birth	④ Telephone Number ()	
⑤ Email Address		

Questions

⑥ **Registration questions for Registered Life Agent applicants:**

1. Do you currently hold an active Ohio insurance license with a Life line of authority and have you actively held this license for at least 5 years? Yes No

2. Do you understand that any individual life insurance agent who intends to operate in Ohio as a viatical settlement broker shall register as a Registered Life Agent annually with the Department on or before March 31st? Yes No

3. Do you understand that as a Registered Life Agent you are only permitted to provide viatical settlement broker services when such activity is incidental to the insurance agent's business activities? Yes No

“Incidental is defined, for purposes of this registration, as executing not more than five viatical settlement contracts within any annual registration period.

4. Do you understand that the superintendent shall cancel your registration as a Registered Life Agent for the following reasons: Yes No

(1) You obtain a viatical settlement broker license in this state;
 (2) You fail to submit an annual registration;
 (3) You no longer hold an active Ohio insurance license with a life line of authority?

5. Do you understand that Registered Life Agents shall use only forms that have been previously filed by licensed viatical settlement brokers or viatical settlement providers and approved by the superintendent for use in this state, and shall use any standard Ohio forms that may be prescribed by the superintendent? Yes No

Signature

⑦

Signature Date